RPI-109US

PATENT

2621

IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

Applicant:

Khalid Al-Kofahi et al.

: Art Unit: 2621

Serial No.:

10/058,543

: Examiner: To Be Assigned

Filed:

January 28, 2002

For:

METHOD AND APPARATUS

FOR AUTOMATICALLY

TRACING LINE-

STRUCTURE IMAGES

INFORMATION DISCLOSURE STATEMENT

Assistant Commissioner for Patents Washington, D.C. 20231

RECEIVED

APR 1 7 2002

Technology Center 2600

SIR:

Pursuant to 37 C.F.R. §§ 1.97 and 1.98 and to the duty of disclosure set forth in 37 C.F.R. § 1.56, the Examiner in charge of the above-identified application is requested to consider and make of record the references listed on the PTO 1449 submitted herewith. Copies of the listed references are also enclosed.

Although the information submitted herewith may be "material" to the Examiner's consideration of the subject application, this submission is not intended to constitute an admission that such information is "prior art" as to the claimed invention.

In accordance with 37 C.F.R. § 1.97(g), the filing of this Information Disclosure Statement shall not be construed to mean that a search has been made.

This Information Disclosure Statement is being filed within three months of the filing date of the application. No first Official Action has yet been received and it is presumed that none has yet been mailed.

No fee or certification is required. 37 C.F.R. § 1.97(b).

Respectfully submitted,

RATNER & PRESTIA

Kevin R. Casey, Esq., Reg. No. 32,117 Pamela D. Politis, Reg. No. 47,865

Attorney and Agent for Applicant

Enclosures: PTO Form 1449;

Copies of References (18)

Dated: April 12, 2002

Ratner & Prestia P.O. Box 980 Valley Forge, PA 19482-0980 (610) 407-0700

The Assistant Commissioner for Patents is hereby authorized to charge payment to Deposit Account No. 18-0350 of any fees associated with this communication.

I hereby certify that this correspondence is being deposited with the United States Postal Service with sufficient postage as priority mail in an envelope addressed to: Assistant Commissioner for Patents, Washington, D.C. 20231 on: April 12, 2002

FORM PTO-1449

P E (Rev. 2-32)

FORM PTO-1449 U.S. DEPARTMENT OF

COLUMBDOD

COMMERCE

PATENT AND TRADEMARK

OFFICE

Information Disclosure Statement by Applicant

(Use several sheets if necessary)

ATTY, DOCKET NO.

SERIAL NO

RPI-109US

10/058,543

APPLICANT: Khalid Al-Kofahi et al.

RECEIVED

Sheet <u>1</u> of <u>3</u>

FILING DATE

January 28, 2002

GROUP 2621

APR 1-7 2002

Technology Center 2600

U.S. PATENT DOCUMENTS

Exmr Initial	Document Number	Date	Name	Class	Sub Class	Filing Date
1	_					

FOREIGN PATENT DOCUMENTS

Exmr Initial	Document Number	Date	Country	Class	Sub Class	Translation YES NO

OTHER DOCUMENTS

(Including Author, Title, Date, Pertinent Pages, Etc.)

	1).	"Rapid Automated Three-Dimensional Tracing of Neurons from Confocal Image Stacks," by K. A.
		Al-Kofahi, S. Lasek, D. Szarowski, C. Pace, G. Nagy, J. N. Turner, and B. Roysam; IEEE Transactions
		on Information Technology in Biomedicine; (accepted, to appear) 2002
	2)	"Rapid Automated Tracing and Feature Extraction from Retinal Fundus Images Using Direct Exploratory
		Algorithms," by A. Can, H. Shen, J. N. Turner, H. L. Tanenbaum, and B. Roysam; IEEE Transactions on
		Information Technology in Biomedicine, Vol. 3, No. 2; June 1999 (pp. 125-138)
	3)	"Confocal Light Microscopy of Brain Cells and Tissue: Image Analysis & Quantitation," by J.N. Turner,
		W. Shain, D.H. Szarowski, S. Lasek, B. Sipple, C. Pace, K. Al-Kafahi, A. Can and B. Roysam
		Acta Histochem. Cytochem.; Vol. 32, No. 1; 1999 (pp 5-11)
	4)	"Confocal Microscopy and Three-Dimensional Reconstruction of Electrophysiologically Identified Neurons
		in Thick Brain Slices," J. N. Turner, D. H. Szarowski, K. L. Smith, M. Marko, A. Leith and J. W. Swann;
		Journal of Electron Microscopy Technique, 18; 1991; (pp 11-23)
	5)	"Iterative, Constrained 3-D Image Reconstruction of Transmitted Light Bright-Field Micrographs Based on
		Maximum Likelihood Estimation," by B. Willis, B. Roysam, J.N. Turner, and T.J. Holmes; Journal of
		Microscopy, Vol. 169, Pt. 3; March 1993 (pp 347-361)
	6)	"Automated Tracing and Volume Measurements of Neurons From 3-D Confocal Fluorescence
		Microscopy Data," by A. Cohen, B. Roysam, and J.N. Turner; Journal of Microscopy, Vol. 173, Pt. 2;
<u>_</u>		February 1994 (pp. 103-114)
	7)	"Adaptive 3-D Skeletonization of Noisy Images Using NxNxN Connectivity Analysis Windows and
		Robust Surface Detection," by W. He, A.R. Cohen, J.N. Turner and B. Roysam; IEEE Transactions on
		Information Theory for Biomedicine; (accepted, to appear) 2002

Examiner	Date Considered
•	

Examiner: Initial if citation considered, whether or not citation is in conformance with MPEP 609; Draw line through citation if not in conformance and not considered. Include copy of this form with next communication to applicant.

FORM PTO-1449

(Rev. 2-32)

FORM PTO-1449 U.S. DEPARTMENT OF

O.S. DEFARITHENT O

COMMERCE

PATENT AND TRADEMARK

OFFICE

Information Disclosure Statement by Applicant

(Use several sheets if necessary)

ATTY. DOCKET NO.

SERIAL NO

RPI-109US

10/058,543

APPLICANT: Khalid Al-Kofahi et al.

RECEIVED

Sheet 2 of 3

FILING DATE

January 28, 2002

GROUP

APR 1 7 2002

2621 **Technology Center 260**0

U.S. PATENT DOCUMENTS

Exmr Initial	Docu Num	 Date	Name	Class	Sub Class	Filing Date

FOREIGN PATENT DOCUMENTS

Exmr Initial	Document Number	Date	Country	Class	Sub Class	Translation YES NO
	_					i i

OTHER DOCUMENTS

(Including Author, Title, Date, Pertinent Pages, Etc.)

8)	"Three-Dimensional Quantitative Coronary Angiography," by T. Saito, M. Misaki, K. Shirato and
	T. Takishima; IEEE Transactions on Biomedical Engineering, Vol. 37, No. 8; August 1990 (pp 768-777)
9)	"Confocal Laser Scanning Microscopy and 3-D Reconstructions of Neuronal Structures in Human Brain
	Cortex," by P. Belichenko and A. Dahlstrom; Neuroimage, 2; 1995 (pp 201-207)
[10)	"Locating Blood Vessels in Retinal Images by Piecewise Threshold Probing of a Matched Filter Response,"
	By A. Hoover, V. Kouznetsova, and M. Goldbaum, IEEE Transactions on Medical Imaging, Vol. 19, No. 3;
	March 2000 (pp. 203-210)
11)	"Detection of Blood Vessels in Retinal Images Using Two-Dimensional Matched Filters," by S. Chaudhuri,
	S. Chatterjee, N. Katz, M. Nelson, and M. Goldbaum; IEEE Transactions on Medical Imaging, Vol. 8,
	No. 3; September 1989 (pp. 263-269)
12)	"Coronary Borders in Complex Images," by M. Sonka, M. Winniford, and S. Collins; IEEE Transactions
	On Medical Imaging, Vol. 14; March 1995 (pp. 151-161)
13)	"A Nonsmoothing Approach to the Estimation of Vessel Contours in Angiograms," by M. Figueiredo and
	J. Leitão; IEEE Transactions on Medical Imaging, Vol. 14, No. 1; March 1995 (pp. 162-172)
14)	"Adaptive Vectorization of Line Drawing Images," by R. Janssen and A. Vossepoel; Computer Vision and
	Image Understanding, Vol. 65, No. 1; January 1997 (pp. 38-56)
15)	Directional Low-Pass Filtering for Improved Accuracy and Reproducibility of Stenosis Quantification in
	Coronary Arteriograms," by Y. Sun, R. Lucariello, and S. Chiaramida; IEEE Transactions on Medical
	Imaging, Vol. 14, No. 2; June 1995 (pp. 242-248

Examiner	Date Considered

Examiner: Initial if citation considered, whether or not citation is in conformance with MPEP 609; Draw line through citation if not in conformance and not considered. Include copy of this form with next communication to applicant.

FORM PTO-1449 Sheet <u>3</u> of <u>3</u> ATTY. DOCKET NO. **SERIAL NO** U.S. DEPARTMENT OF COMMERCE PATENT AND TRADEMARK RPI-109US 10/058,543 OFFICE APPLICANT: Khalid Al-Kofahi et al. Information Disclosure Statement by Applicant RECEIVED (Use several sheets if necessary) FILING DATE **GROUP** APR 1 7 2002 January 28, 2002 2621 Technology Center 2600 **U.S. PATENT DOCUMENTS**

Exmr Initial	Document Number	Date	Name	Class	Sub Class	Filing Date

FOREIGN PATENT DOCUMENTS

Exmr Initial	Document Number	Date	Country	Class	Sub Class	Translation YES NO

OTHER DOCUMENTS

(Including Author, Title, Date, Pertinent Pages, Etc.)

		T	
		16)	"Recursive Tracking of Vascular Networks in Angiograms Based on the Detection-Deletion Scheme,"
	<u> </u>		by I. Liu and Y. Sun; IEEE Transactions on Medical Imaging, Vol. 12, No. 2; July 1993 (pp. 334-341)
		17)	"Fully Automated Reconstruction of Three-Dimensional Vascular Tree Structures from Two Orthogonal
	<u> </u>		Views Using Computational Algorithms and Production Rules," by I. Liu and Y. Sun; Optical Engineering,
			Vol. 31, No. 10; October 1992 (pp. 2197-2207)
		18)	"Automated Identification of Vessel Contours in Coronary Arteriograms by an Adaptive Tracking
			Algorithm," by Y. Sun, IEEE Transactions of Medical Imaging, Vol. 8, No. 1; March 1989 (pp. 78-88)
	ļ	ļ <u>.</u>	
	ļ	ļ	
		ļ	
			
		ļ	
•			

Examiner Date Considered	

Examiner: Initial if citation considered, whether or not citation is in conformance with MPEP 609; Draw line through citation if not in conformance and not considered. Include copy of this form with next communication to applicant.